

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Andrews *et al.*

**Application No.** 10/550,499

**Filed:** January 10, 2007

**Confirmation No.** 8366

**For:** MODULATION OF CELL PHENOTYPE  
BY INHIBITORY RNA

**FILED VIA EFS**

**Examiner:**

**Art Unit:** 1645

**Attorney Reference No.** 7730-71694-01

FILED VIA ELECTRONIC FILING SYSTEM  
UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER

Submitted herewith for filing in the application referenced above are the following:

- ☒ Request for Second Corrected Official Filing Receipt
  - ☒ Copy of Replacement Filing Receipt with correction (Exhibit A).
  - ☒ Copy of Combined Declaration and Power of Attorney for Patent Application (Exhibit B).
- ☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

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By /Tanya M. Harding/  
Tanya M. Harding, Ph.D.  
Registration No. 42,630

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Andrews *et al.***Application No.** 10/550,499**Filed:** January 10, 2007**Confirmation No.** 8366**For:** MODULATION OF CELL PHENOTYPE  
BY INHIBITORY RNA**FILED VIA EFS****Examiner:****Art Unit:** 1645**Attorney Reference No.** 7730-71694-01**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**FILED VIA ELECTRONIC FILING SYSTEM  
UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants received the Replacement Filing Receipt for the application referenced above, a copy of which (with requested correction handwritten) is attached as Exhibit A.

The following error(s) appears on the Filing Receipt:

ITEM IN ERROR	CORRECT INFORMATION
<b>Applicant: Maryam Matin, Residence Not Provided</b>	<b>Applicant: Maryam Matin, Sheffield, UNITED KINGDOM</b>

Applicants request that the residence information be corrected and that a second new official Filing Receipt be issued.

Please call the undersigned if any further information is required.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

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Tanya M. Harding, Ph.D.  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
✓10/550,499	✓01/10/2007	✓1645	✓590	✓7730-71694-01	20	23	3

✓CONFIRMATION NO. 8366

## REPLACEMENT FILING RECEIPT



\*OC000000022502072\*

✓24197  
KLARQUIST SPARKMAN, LLP  
121 SW SALMON STREET  
SUITE 1600  
PORTLAND, OR 97204

PREVIOUSLY DOCKETED

Date Mailed: 02/14/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓Peter Andrews, Sheffield, UNITED KINGDOM;
- ✓Paul Gokhale, Sheffield, UNITED KINGDOM;
- ✓Jim Walsh, Sheffield, UNITED KINGDOM;
- ✓Maryam Matin, ~~Residence Not Provided~~; *Sheffield, UNITED KINGDOM*

✓Power of Attorney: The patent practitioners associated with Customer Number **24197**.

## Domestic Priority data as claimed by applicant

- ✓This application is a 371 of PCT/GB04/01374 03/25/2004

## Foreign Applications

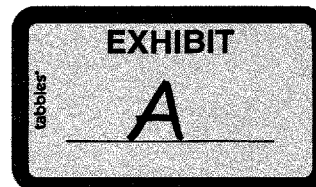
- ✓UNITED KINGDOM 0307206.3 03/28/2003

✓If Required, Foreign Filing License Granted: 01/18/2007

✓The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/550,499**

✓Projected Publication Date: 04/26/2007

✓Non-Publication Request: No



# **COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled MODULATION OF CELL PHENOTYPE BY INHIBITORY RNA, the specification of which

- ☒ was filed on September 20, 2005 as United States Patent Application No. 10/550,499;
- ☒ was amended on September 20, 2005; and
- ☒ was described and claimed in PCT International Application No. PCT/GB2004/001374, filed on March 25, 2004.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56. If this is a continuation-in-part application filed under the conditions specified in 35 U.S.C. § 120 which discloses claims and subject matter in addition to that disclosed in the prior copending application, I further acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the applications(s) on which priority is claimed:

Number	Country	Day/Month/Year Filed	Claim Priority?	
0307206.3	Great Britain	28-March-2003	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Filing Date

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT international application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Filing Date	Status: patented, pending, abandoned

**EXHIBIT**

**B**

I hereby appoint the practitioners associated with the customer number provided below to prosecute this application, to file a corresponding international application, and to transact all business in the Patent and Trademark Office connected therewith:

**Customer Number 24197**

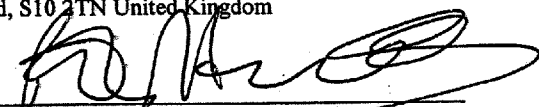
I hereby grant the law firm of Klarquist Sparkman, LLP, the power to insert on this Combined Declaration and Power of Attorney any further information which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for submitting this document.

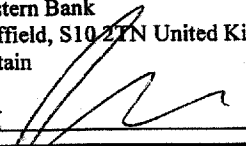
Address all telephone calls to Tanya M. Harding, Ph.D. at telephone number (503) 226-7391.

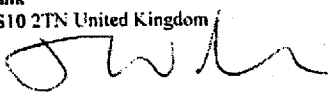
Address all correspondence to the address associated with **Customer Number 24197**, which address is:


Klarquist Sparkman, LLP  
121 S.W. Salmon Street, Suite 1600  
Portland, OR 97204

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

<b>Name of First Inventor:</b>	Peter Andrews
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<b>Inventor's Signature</b> X	 <b>Date</b> X 13 Nov 2006

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<b>Inventor's Signature</b> X	 <b>Date</b> X 13 Nov 2006

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Inventor's Signature	 Date <u>21/11/06</u>

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Inventor's Signature	 Date <u>22/11/06</u>